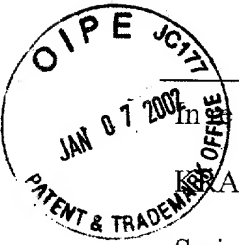


SRE0001-US

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

KRAEHNBUHL ET AL.

Serial No.: 09/967,045

Art Unit: 2166

Filed: October 1, 2001

Examiner: Not Yet Assigned

For: ON-LINE REINSURANCE  
CAPACITY AUCTION SYSTEM  
AND METHOD

**RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS**

Box: Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Corrected Application Papers mailed November 7, 2001,  
copy attached, applicants are submitting herewith:

- ☐ Declaration and Power of Attorney for Patent Application signed by Applicant;
- ☒ 2 sheets of drawings in compliance with applicable regulations;
- ☐ Other: \_\_\_\_\_;
- ☐ Check in the amount of \_\_\_\_\_ to cover the required fees for submission of these documents;
- ☐ The Commissioner is hereby authorized to charge the amount of \_\_\_\_\_ to Deposit Account 50-1390 to cover the required fees for submission of these documents, and a copy of this authorization is attached.

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account 50-1390.

09967045-010702

Serial No.: 09/967,045  
Art Unit: 2166

Attorney's Docket No.: SRE0001-US  
Page 2

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account 50-1390.

It is respectfully submitted that the application is now complete and it is respectfully requested that the subject application be placed upon the files for examination.

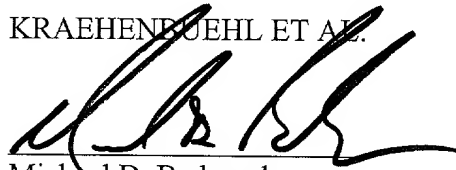
SHAW PITTMAN LLP  
1650 Tysons Boulevard  
McLean, VA 22102  
Tel: 703/770-7606

Date: January 7, 2002

Respectfully submitted,

KRAEHENBUHL ET AL.

By:



Michael D. Bednarek  
Registration No. 32,329



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/967,045         | 10/01/2001          | Martin Kraehenbuehl   | SRE0001-US             |

CONFIRMATION NO. 2573

## FORMALITIES LETTER



\*OC000000007039505\*

Michael D. Bednarek  
SHAW PITTMAN LLP  
1650 Tysons Boulevard  
McLean, VA 22102

Date Mailed: 11/07/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

*Charlley Young*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

OIPE JC17  
 JAN 07 2002  
 PATENT & TRADEMARK OFFICE  
 2020FO-5402956